

IA COMPLIANCE BUZZWORD BINGO

Solicitor	12b-1 Fees	Continuous Advice	Pay To Play	IAR Compliance Guide
Class C Share	SEC	Margin Account	ADV Part 2B	Mutual Fund
Registration	Transaction Fee	FREE	Advisor	Fiduciary
Financial Planning	Professional Designation	Gabriel Ayala	ERISA	Inactive
Double Dipping	CSA	Mike Lehr	Unlisted REIT	Concentrated Assets

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Transaction Fee	ADV Part 2B	Pay To Play	Class C Share	Margin Account
Continuous Advice	Unlisted REIT	IAR Compliance Guide	CSA	Mutual Fund
Financial Planning	Advisor	FREE	Fiduciary	12b-1 Fees
ERISA	Mike Lehr	Solicitor	Concentrated Assets	Professional Designation
Gabriel Ayala	Inactive	Registration	SEC	Double Dipping

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CSA	Mike Lehr	ADV Part 2B	Inactive	Advisor
Solicitor	Financial Planning	Gabriel Ayala	SEC	IAR Compliance Guide
Registration	Concentrated Assets	FREE	Professional Designation	ERISA
Transaction Fee	Mutual Fund	Pay To Play	Unlisted REIT	Continuous Advice
Fiduciary	12b-1 Fees	Margin Account	Class C Share	Double Dipping