

IA COMPLIANCE BUZZWORD BINGO

Unlisted Securities	ADV Part 2A	Discretionary	Series 65	IAR
Code of Ethics	Ticket Charge	Political Contribution	Advisory Relationship	Reverse Churning
Sub-Advised	Wealth One	FREE	Annual Review Certification	FINRA
Fee-Based	State Regulator	General Consulting	ETF	INVEST
Dual Fee	Class B Shares	RegEd	ia.compliance	Department of Labor

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Wealth One	Advisory Relationship	Series 65	Code of Ethics	Political Contribution
Discretionary	ia.compliance	IAR	Class B Shares	Reverse Churning
Fee-Based	Annual Review Certification	FREE	FINRA	ADV Part 2A
ETF	RegEd	Unlisted Securities	Department of Labor	State Regulator
General Consulting	INVEST	Sub-Advised	Ticket Charge	Dual Fee

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Class B Shares	RegEd	Advisory Relationship	INVEST	Annual Review Certification
Unlisted Securities	Fee-Based	General Consulting	Ticket Charge	IAR
Sub-Advised	Department of Labor	FREE	State Regulator	ETF
Wealth One	Reverse Churning	Series 65	ia.compliance	Discretionary
FINRA	ADV Part 2A	Political Contribution	Code of Ethics	Dual Fee