

IA COMPLIANCE BUZZWORD BINGO

Solicitor	12b-1 Fees	Concentrated Assets	Pay To Play	IAR Compliance Guide
CSA	SEC	Inactive	ADV Part 2B	Mutual Fund
Registration	Transaction Fee	FREE	Advisor	ERISA
Fiduciary	Professional Designation	Financial Planning	Double Dipping	INVEST
Continuous Advice	Advisor Solutions	Margin Account	Unlisted REIT	Class C Share

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Double Dipping	Margin Account	Solicitor	Class C Share	Professional Designation
Financial Planning	INVEST	Registration	SEC	Continuous Advice

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Advisor Solutions	Margin Account	ADV Part 2B	INVEST	Advisor
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Transaction Fee	Mutual Fund	Pay To Play	Unlisted REIT	Concentrated Assets
ERISA	12b-1 Fees	Inactive	CSA	Continuous Advice