

B	I	N	G	O
Suitability	Apollo Compliance Support	Cross-functional projects	PPVA	Firm Oversight
BMA	State Insurance Regulators	Megan Claypool - Chief Compliance Officer	Athene	Sheila Burton - VP Compliance
TPA Oversight	Athene Securities	FREE	Enterprise Compliance	FINRA
SEC	Financial Institutions	NAIC	Department of Labor	Lisa Arechavaleta - VP Compliance
BAU/Prioritization	Product Compliance	Broker Dealer	User Acceptance Testing	Chad Batterson - VP Compliance

B	I	N	G	O
TPA Oversight	User Acceptance Testing	Athene	Financial Institutions	BMA
PPVA	FINRA	Cross-functional projects	Lisa Arechavaleta - VP Compliance	Athene Securities
Enterprise Compliance	Apollo Compliance Support	FREE	Department of Labor	NAIC
Chad Batterson - VP Compliance	Suitability	Broker Dealer	SEC	BAU/Prioritization
Firm Oversight	Email Surveillance	Sheila Burton - VP Compliance	Megan Claypool - Chief Compliance Officer	State Insurance Regulators

B	I	N	G	O
SEC	Department of Labor	TPA Oversight	Chad Batterson - VP Compliance	PPVA
Product Compliance	Sheila Burton - VP Compliance	User Acceptance Testing	Financial Institutions	Athene Securities
NAIC	Athene	FREE	Enterprise Compliance	Cross-functional projects
Email Surveillance	Firm Oversight	BAU/Prioritization	Apollo Compliance Support	Suitability
State Insurance Regulators	Megan Claypool - Chief Compliance Officer	FINRA	Lisa Arechavaleta - VP Compliance	Broker Dealer